

# **Research in Experimental Computer Science and Engineering**

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**SICS**

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# Background

## *“Academic Careers for Experimental Computer Scientists and Engineers”*

- **Report from the Computer Science and Telecommunications board, National Research Council on request from NSF**
- **Explains need for ECSE**
- **Focuses on the special challenges faced by faculty who wish to be successful experimentalists**
- **Deals primarily with career track of regular faculty members (“tenure track”)**

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## Report outline

- **What is Experimental Computer Science and Engineering?**
- **An academic career in ECSE**
- **Educational dimensions of academic ECSE**
- **Evaluating research in ECSE**
- **A positive environment for academic ECSE**
- **Special needs and concerns of non-doctorate-granting and less recognised institutions**

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# “Computing the Future”

**CSTB report that characterises CS&E as a field:**

***“Computer scientists and engineers focus on information, on the ways of representing and processing information, and on the machines and systems that perform these tasks.”***

**Key intellectual themes:**

**algorithmic thinking,  
information representation, and  
computer programs.**

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# “Computing the Future” (cont.)

**Five subdisciplines:**

- **systems and architectures;**
- **programming languages, compilers and software engineering;**
- **artificial intelligence;**
- **computer graphics and user interfaces;**
- **algorithms and computational complexity.**

**Experimentation is an important aspect of many of these.**

# **“Computing as a discipline”**

**“The Denning report” – enumerates subareas:**

- **Algorithms and data structures**
- **Programming languages**
- **Computer architecture**
- **Numeric and symbolic computation**
- **Operating systems**
- **Software engineering**
- **Databases and information retrieval**
- **Artificial intelligence and robotics**
- **Human-computer interaction**

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## “Computing as a discipline” (cont.)

**Classifies each subarea via three basic processes:**

- **theory,**
- **abstraction, and**
- **design**

**that are used by the subareas to accomplish their goals.**

***However:* ignores the role of experimentation.**

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# Defining characteristics of ECSE

- It is a synthetic discipline
- It focuses primarily on *artifacts*
- The artifacts of ECSE are extraordinarily complex
- It is sensitive to technological developments
- Computing artifacts are universal
- It is not strongly coupled to theoretical computer science

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## **It is a synthetic discipline**

**The phenomena studied by most practitioners have been created by a person rather than being “given” by nature.**

**This characteristic is shared with other branches of CS&E.**

**Complication: hard to assess the contribution embodied in an artifact because the synthetic property under-constrains them.**

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## **Focuses primarily on artifacts**

- **The artifact can be the subject of study, the apparatus with which to conduct the study, or both**
- **Artifacts often embody a substantial portion of the intellectual contribution of experimental research**
- **The creation of artifacts represents a significant intellectual effort**
- **Artifacts serves three primary purposes/roles:**
  - **Proof of performance**
  - **Proof of concept**
  - **Proof of existence**

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# Artifacts

**Not only electronic hardware or software systems.  
Also including:**

- **graphic images and animations,**
- **robots,**
- **certain hard-to-construct data files including multi-processor execution traces,**
- **test benchmark suites and**
- **structural descriptions (such as the Utah Tea Pot)**

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## **Proof of performance**

**An artifact acting in the proof-of-performance role provides an apparatus for direct measurement and experimentation.**

- **The artifact exists or can be constructed**
- **The results produced are usually quantitative**
- **Perhaps the most typical artifact of ECSE research**

**Example: peephole code optimizer.**

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## **Proof of concept**

**An artifact acting in the proof-of-concept role demonstrates by its behaviour that a complex assembly of components can accomplish a particular set of activities, behaviour that could not be argued simply by logical reasoning or abstract argument from first principles.**

**The working system, the artifact, is a witness “proving” that the concepts in at least one configuration are correct.**

**Example: experimental computers implementing new concepts for hiding memory latency.**

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## **Proof of existence**

**An artifact playing the proof-of-existence role conveys the essence of an entirely new phenomenon.**

**Example: computer mouse.**

**Least common of the three roles.**

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# **The artifacts are extraordinarily complex**

- **Both construction and dynamic behaviour are complex**
- **Creating and understanding artifacts can require substantial intellectual effort**
- **Complexity takes several forms, including a large number of components and high component specialization**

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## **It is sensitive to technological developments**

- **Intimate relationship with technology**
- **Technology in which an artifact is implemented is not an incidental aspect**
- **The use of cutting-edge technology can be risky**
- **Not using cutting-edge technology can be risky**
- **Dependence on technology (HW and SW) developed by others**

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# Computing artifacts are universal

**Computers are malleable and versatile – they are universal, which means that within broad limits, whatever one machine can do, all machines can do.**

**Serious complication: there is no a priori limit on the functionality of computers, which leads to ever-expanding expectations for the capability of artifacts.**

**Expectations for increased functionality can affect other systems that serve similar purposes.**

## **It is not strongly coupled to theoretical computer science**

- **Unlike, e.g., physics, an “experiment” in ECSE generally does not verify a prediction from theoretical CS, or rely on a model developed theoretically.**
- **Reason: complexity of most real problems precludes the direct application of analysis.**
- **A problem can be made theoretically tractable only by abstracting so extensively that the problem that emerges may not capture the essence of the original problem.**

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## **It is not strongly coupled to theoretical computer science (2)**

**However:**

- **Good experimental work is grounded in testable models and hypotheses**

**There are also exceptions:**

- **Language theory underpins parsing in compilers**
- **Complexity theory underpins data encryption**

## A succinct definition

*“ECSE involves the creation of,  
or the experimentation with or on,  
computational artifacts.”*

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## What makes ECSE research?

- **Synthetic -> straightforward to create new artifacts**
- **Not automatically an intellectual contribution**
- **Must be shown to be better than some alternative**
- **Proof of performance: objective measure**
- **Proof of concept or proof of existence: “better” may be a subjective human judgement**

## What makes ECSE research? (2)

**“Constructing an artifact is research when it contributes directly or indirectly to our understanding of computing.”**

**Implies two specific requirements:**

- **The artifact must embody some computational phenomenon in a manner that reveals new information, and must be constructed in a way that conveys the information reliably.**
- **The new information is extracted from the artifact and conveyed in a suitable medium and scholarly manner, i.e., the *implementor must teach others*.**

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# Evaluating research in ECSE

**Main problem: fewer journal publications compared to theoreticians.**

**Conference publications are much more important because of the rapid technology advancement.**

**Other forms of dissemination are very important, specifically the distribution of artifacts.**